

MCDC Enforcement: What to Do When the SEC Calls Webinar

Location

Webinar

Date & Time

Start Date: 05/19/2015

Start Time: 11:30 a.m.

End Time: 12:30 p.m.

The municipal bond market has recently been under increased scrutiny by the Securities and Exchange Commission's (SEC) Division of Enforcement. This greater scrutiny, together with the SEC's Municipalities Continuing Disclosure Cooperation (MCDC) initiative, has increased the chance of an SEC investigation. Join members of Cozen O'Connor's Public & Project Finance Group and Litigation Department to gain an understanding of what measures you should take if the SEC contacts you.

What You Will Learn:

- MCDC update
- Recent SEC enforcement actions
- What to expect during an SEC inquiry
- How to respond to an SEC inquiry

Speakers

Jonathan G. Lichtenstein, Member, Public & Project Finance

Joseph Dever, Member, Securities Litigation & Enforcement

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